

#3/ Reg for  
Refund  
08-31-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: DUCK-YOUNG JUNG, ET AL.  
SERIAL NUMBER: 09/888,887  
FILED: June 25, 2001  
FOR: PHOTO IMAGE DETECTOR AND  
METHOD OF CONTROLLING LUMINOUS  
INTENSITY THEREFOR

)  
) Group-Art Unit  
)  
) Examiner  
)  
)  
)  
)  
)

REQUEST FOR REFUND

The Assistant Commissioner of  
Patents and Trademarks  
Washington, DC 20231  
ATTN: Refund Section, Accounting Division, Office of Finance

I HEREBY CERTIFY THAT THIS CORRESPONDENCE  
IS BEING DEPOSITED WITH THE UNITED STATES  
POSTAL SERVICE AS FIRST CLASS MAIL IN AN  
ENVELOPE ADDRESSED TO:  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D. C. 20231

ON August 13, 2001  
DATE OF DEPOSIT  
Jennifer Watson  
(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)  
Jennifer Watson 8/13/01  
SIGNATURE DATE

Sir:

In accordance with the provisions under 37 C.F.R. § 1.26(a), applicants hereby request a refund of one-half of the filing fee of \$790.00 which was paid through Check No. 26122 on June 25, 2001 (copy attached hereto as Exhibit 1) for filing an informal application in the above-identified application. This request for refund is submitted within three months of the date that the filing fee was paid and is therefore proper. The request for refund is based upon the verified statement claiming SMALL ENTITY STATUS - SMALL BUSINESS CONCERN filed on August 13, 2001 (a copy attached hereto as Exhibit 2). The statement establishes entitlement to pay small entity fees.

Accordingly, Applicants request that the amount of \$395.00 be credited to Deposit Account No. 06-1130.

Respectfully submitted,

DUCK-YOUNG JUNG, ET AL.

CANTOR COLBURN LLP

Applicants' Attorneys

By: 

Daniel F. Drexler

Registration No. 47,535

Customer No. 23413

Date: August 13, 2001

Address: 55 Griffin South Road, Bloomfield, CT 06029

Telephone: (860) 286-2929

# **EXHIBIT 1**

CANTOR COLBURN LLP

26122

VENDOR ID	PTO		NUMBER	00026122	DATE	06/25/01
PAYEE	COMMISSIONER OF PATENTS AND		MEMO	SUN-0013		
INVOICE NUMBER	INVOICE DATE	INVOICE AMOUNT	PREVIOUS PAY/CREDIT	DISCOUNT TAKEN	AMOUNT OF PAYMENT	
TOTAL				*****\$790.00		

**CANTOR COLBURN LLP**  
 INTELLECTUAL PROPERTY ATTORNEYS  
 55 GRIFFIN ROAD SOUTH  
 BLOOMFIELD, CT 06002

FLEET BANK, N.A.  
 HARTFORD, CT

51-57/119

26122

00026122

PAY SEVEN HUNDRED NINETY AND XX / 100 Dollars

DATE

AMOUNT

06/25/01

\*\*\*\*\*\$790.00

TO THE  
 ORDER  
 OF

COMMISSIONER OF PATENTS AND  
 TRADEMARK OFFICE

*Judi Redukonis*  
 AUTHORIZED SIGNATURE

SUN-0013

⑈026122⑈ ⑈011900571⑈

168 13348⑈

## **EXHIBIT 2**

AA

0360

8/21/01 0470

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

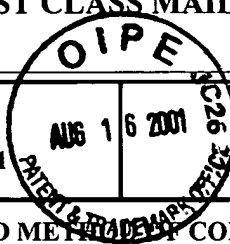
Applicant(s): DUCK-YOUNG JUNG, ET AL.

Docket No.

SUN-0013

Serial No.  
09/888,887

Filing Date  
June 25, 2001



Examiner

Group Art Unit

Invention: PHOTO IMAGE DETECTOR AND METHOD OF CONTROLLING LUMINOUS INTENSITY THEREFOR

I hereby certify that this Small Entity Statement - Small Business Concern

*(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

August 13, 2001

*(Date)*

Jennifer Matson

*(Typed or Printed Name of Person Mailing Correspondence)*

*(Signature of Person Mailing Correspondence)*

**Note: Each paper must have its own certificate of mailing.**

CANTOR COLBURN LLP  
55 Griffin Road South  
Bloomfield, CT 06002  
860-286-2929

Applicant or Patentee: DUCK-YOUNG JUNG, ET AL.

Serial or Patent No: 09/888,887      Attorney's Docket No: SUN-0013

Filed or Issued: For: PHOTO IMAGE DETECTOR AND METHOD OF CONTROLLING  
LUMINOUS INTENSITY THEREFOR

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27 (c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF CONCERN:      Advanced Technology Lab Co., Ltd.  
ADDRESS OF CONCERN: 8/7 Chunggu Blue Village, Soonae-dong,  
Bundang-gu, Sungnam-city, Kyunggi-do, Korea

I hereby declare that the above-identified small business concern qualified as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that under contract or law all right, title and interest in and to the following invention have been conveyed to and remain exclusively in the small business concern identified above, said invention, entitled: PHOTO IMAGE DETECTOR AND METHOD OF CONTROLLING LUMINOUS INTENSITY THEREFOR by inventor(s) DUCK-YOUNG JUNG and BANG-WON LEE described in

- ☐ the specification filed herewith
- ☒ Application Serial No. 09/888,887 Filed: June 25, 2001
- ☐ Patent No. \_\_\_\_\_, issued: \_\_\_\_\_

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of issue fee or any maintenance fee due after date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: BANG-WON LEE

TITLE OF PERSON, OTHER THAN OWNER: \_\_\_\_\_

207-302, DONG A APT., HYOJA-CHON, 291 SEOHYUN-  
ADDRESS OF PERSON SIGNING: DONG, BUNDANG-GU, SUNGNAM-CITY, KYUNGGI-DO, KOREA

SIGNATURE: Bang Won Lee DATE: Aug. 6, 2001